

PTO/SB/21 (09-04)

Approved for use through 7/31/2006. OMB 0651-0031

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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	09/871,061
	Filing Date	May 31, 2001
	First Named Inventor	Kouichi MATSUMURA, et al.
	Art Unit	2167
	Examiner Name	Kuen S. Lu
Total Number of Pages in This Submission 7 + JP OA + 7 references	Attorney Docket No.	MTS-3262US

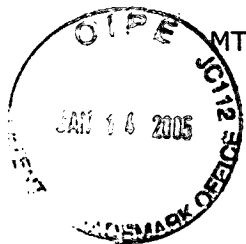
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<input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Request for Refund	<input type="checkbox"/> Return postcard;
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		<input type="checkbox"/> 7 references
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SIGNATURE OF APPLICANT, ATTORNEY OR AGENT			
Firm Name	RatnerPrestia		
Signature			
Printed Name	Daniel N. Calder		
Date	January 12, 2005	Reg. No.	34,515

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MTS-3262US

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application No: 09/871,061  
Applicant: Kouichi MATSUMURA, et al.  
Filed: May 31, 2001  
Title: RECEIVING CONTROL DEVICE, MEDIUM AND  
INFORMATION AGGREGATE  
TC/A.U.: 2167  
Examiner: Kuen S. Lu  
Confirmation No.: 8967  
Docket: MTS-3262US

**INFORMATION DISCLOSURE STATEMENT**

***Mail Stop AF***

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (RP) submitted herewith. A copy of the references listed on the 1449 form is enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The following Japanese References are not in the English language:

- 1) 11-075127
- 2) 09-322147
- 3) 10-069492
- 4) 11-025541
- 5) 2000-069383

References 1), 3) and 5) have an English abstract and a partial English translation attached to the copy of each reference submitted herewith.

References 2) and 4) have a counterpart English language patent or application attached.

References 1) to 5) were cited by a foreign patent examining authority in connection with the examination of a counterpart of the above identified application. A copy of the Japanese Office Action with a partial English translation, indicating the degree of relevance determined by the foreign patent office is submitted herewith. MPEP 609 IIIA(3), second paragraph.

**STATEMENT UNDER 37 C.F.R. § 1.97(e)**

The undersigned hereby states that each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

The required fee set forth in 37 C.F.R. § 1.17(p) is provided herewith.

Respectfully submitted,



Daniel N. Calder, Reg. 27,424  
Attorney for Applicants

DNC/fp

Enclosures: PTO Form 1449  
(#7) References  
Japanese Office Action with partial English translation  
Transmittal Form  
Credit Card Payment Form (\$180)

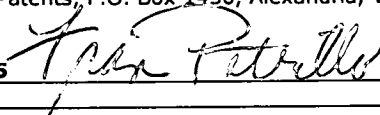
Dated: January 12, 2005

P.O. Box 980  
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(610) 407-0700

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January 12, 2005



Substitute for Form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

**SHEET 1 of 2**

**Complete if Known**

Application Number	<b>09/871,061</b>
Filing Date	<b>May 31, 2001</b>
First Named Inventor	<b>Kouchi MATSUMURA, et al.</b>
Art Unit	<b>2167</b>
Examiner Name	<b>Kuen S. Lu</b>
Attorney Docket No.	<b>MTS-3262US</b>

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If known)				
		JP 11-075127 (with English abstract and partial English translation)	03/16/99	NIPPON TELEGR & TELEPH CORP		<input type="checkbox"/>
		JP 09-322147 (with counterpart US 6,100,884)	12/12/97	FUJITSU LIMITED		<input type="checkbox"/>
		JP 10-069492 (with English abstract and partial English translation)	03/10/98	DAINIPPON PRINTING CO LTD		<input type="checkbox"/>
		JP 11-025541 (with counterpart EP 0 889 647)	01/29/99	MATSUSHITA ELEC IND CO LTD		<input type="checkbox"/>
		EP 0 889 647 A (with counterpart JP 11-025541)	01/07/99	MATSUSHITA ELEC IND CO LTD		<input type="checkbox"/>
		JP 2000-069383 (with English abstract and partial English translation)	03/03/00	MATSUSHITA ELEC IND CO LTD		<input type="checkbox"/>

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).

<sup>7</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

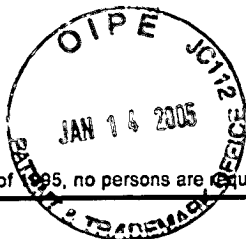
\*For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>2</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

<sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

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Substitute for Form 1449B/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	09/871,061
Filing Date	May 31, 2001
First Named Inventor	Kouichi MATSUMURA, et al.
Art Unit	2167
Examiner Name	Kuen S. Lu
Attorney Docket No.	MTS-3262US

SHEET 2 of 2

**NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
		Japanese Office Action for 2000-163811 dated November 2, 2004, (with partial English translation).	<input type="checkbox"/>
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			<input type="checkbox"/>
Examiner Signature		Date Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).

<sup>2</sup>Applicant is to place a check mark here if English language translation is attached.

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